

# PATENT COOPERATION TREATY

From the:  
INTERNATIONAL SEARCHING AUTHORITY

REC'D 23 NOV 2004

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**PCT**

## WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY

(PCT Rule 43bis.1)

To:

Cochlear Limited  
14 Mars Road  
Lane Cove NSW 2066

Date of mailing (day/month/year) **17 NOV 2004**

Applicant's or agent's file reference

CID307AUC

**FOR FURTHER ACTION**

See paragraph 2 below

International application No.

**PCT/AU2004/000920**

International filing date (day/month/year)

**9 July 2004**

Priority date (day/month/year)

**9 July 2003**

International Patent Classification (IPC) or both national classification and IPC

**Int. Cl. <sup>7</sup> A61N 1/05 H01L 21/64**

Applicant

**COCHLEAR LIMITED et al**

1. This opinion contains indications relating to the following items:

- |                                     |              |  |
|-------------------------------------|--------------|--|
| <input checked="" type="checkbox"/> | Box No. I    | Basis of the opinion   |
| <input type="checkbox"/>            | Box No. II   | Priority   |
| <input type="checkbox"/>            | Box No. III  | Non-establishment of opinion with regard to novelty, inventive step and industrial applicability   |
| <input type="checkbox"/>            | Box No. IV   | Lack of unity of invention   |
| <input checked="" type="checkbox"/> | Box No. V    | Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement |
| <input type="checkbox"/>            | Box No. VI   | Certain documents cited  |
| <input type="checkbox"/>            | Box No. VII  | Certain defects in the international application   |
| <input type="checkbox"/>            | Box No. VIII | Certain observations on the international application  |

### 2. FURTHER ACTION

If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered.

If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later.

For further options, see Form PCT/ISA/220.

3. For further details, see notes to Form PCT/ISA/220.

Name and mailing address of the IPEA/AU  
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Box No. I Basis of the opinion

1. With regard to the **language**, this opinion has been established on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.  
☐ This opinion has been established on the basis of a translation from the original language into the following language , which is the language of a translation furnished for the purposes of international search (under Rules 12.3 and 23.1(b)).
2. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application and necessary to the claimed invention, this opinion has been established on the basis of:
  - a. type of material  
☐ a sequence listing  
☐ table(s) related to the sequence listing
  - b. format of material  
☐ in written format  
☐ in computer readable form
  - c. time of filing/furnishing  
☐ contained in the international application as filed.  
☐ filed together with the international application in computer readable form.  
☐ furnished subsequently to this Authority for the purposes of search.
3. ☐ In addition, in the case that more than one version or copy of a sequence listing and/or table relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4. Additional comments:

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International application No.

**PCT/AU2004/000920**

**Box No. V Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. Statement**

Novelty (N)	Claims 1 to 38	YES
	Claims	NO
Inventive step (IS)	Claims 1 to 18, 27 to 38	YES
	Claims 19 to 26	NO
Industrial applicability (IA)	Claims 1 to 38	YES
	Claims	NO

**2. Citations and explanations:**

The following documents identified in the International Search Report have been considered for the purposes of this report:

- D1 WO 2001083855 (ISIS INNOVATION LIMITED)
- D2 DE 2720109 (IBM CORP.)
- D3 EP 0175654 (SELENIA INDUSTRIE ELETTRONICHE ASSOCIATE S.p.A.)
- D4 US 2002/0137243 (CHEN)
- D5 WO 2002087685 (SECOND SIGHT, LLC)
- D6 WO 2002089907 (COCHLEAR LIMITED)

The present application defines a method (claim 1) of forming a patterned conductive element by depositing a "supplementary material" on a sheet of conductive parent material; applying a carrier material over the supplementary material to form a sheet of "semi-finished material"; removing portions of the conductive element corresponding to a pattern and releasing the carrier material from the semi finished sheet. Also defined is a method of making a sheet of semi-finished material (claim 19), wherein supplementary material is deposited on a platinum sheet; carrier material is applied over the supplementary material and the semi-finished sheet has a thickness of less than 100 micrometres. The present application further defines a method (claim 27) of forming an electrode array with similar steps to claim 1. The conductive material is more narrowly defined as a platinum sheet and the pattern is defined as including a linear array of stimulating or recording pads.

Document D1 discloses a superconductor with a Nickel or Nickel alloy base. A layer of a metal (Cr, Ni, Pd, Pt, Ru and especially Ag, is deposited on this layer, and then a superconductivity layer is applied over that. The resultant material is 10 nanometres to 10 micrometres thick. It is considered that this document teaches towards a semi-finished material as defined in claim 19. The person skilled in the art would recognise that Platinum, with some similar properties to Nickel, could be used as a base without recourse to inventive facility. Claims 19 to 26 are considered to lack an inventive step in view of this document.

Document D2 provides a microelectronic component of a silicon dioxide substrate (10) with a metal layer (12), dielectric layer (13) and a mask (16). A negative pattern results from the mask to produce a semi-conductor. D3 produces a thin film resistor by adding layers of metal alloys (NiCr, Pt Au) on an Aluminium oxide substrate. A mask is applied between sputtering applications of each layer.

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**Supplemental Box**

In case the space in any of the preceding boxes is not sufficient.

Continuation of: Box V

In D4 a LED semiconductor is formed on a substrate (60) by growing a succession of LED layers (62, 64, 66). A metallic (Au/Be or Au/Zn) electrode layer (68) and a metal layer (70) are applied. The metal (70) layer may be Pt amongst the usual Au, Ni etc and can be as thin as 20 nanometres. The substrate is removed to produce an LED array on a metal backing.

D5 recites a micro-machined electrode array of a polyimide substrate, a layer of Ti, Pt, Au or an Au/Pt alloy.

In D6 portions of a platinum sheet are removed using EDM to produce an electrically conductive component.

None of the cited documents D1 to D6 are considered to disclose the features of independent claims 1 or 27. Further none of documents D2 to D6 are considered to disclose the features of independent claim 19.